

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shinichi HIRATA

SERIAL NO: New DIV Application

FILED: Herewith

FOR: RECEPTION METHOD, RECEPTION DEVICE TRANSMISSION METHOD, TRANSMISSION DEVICE,
TRANSMISSION/RECEPTION METHOD, TRANSMISSION/RECEPTION DEVICE

GAU:

EXAMINER:



INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the reference listed on the attached form PTO-1449. A copy of the listed reference is attached, where required, and references listed on PTO-892 forms were provided in S.N. 09/039,922, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 217537US-6 DIV		SERIAL NO. New DIV Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Shinichi HIRATA			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	EP 0 805 594 A2	5/11/97	EUROPE	X		
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

10/22/98
 12/28/01
 10/22/98
 12/28/01

Notice of References Cited

Application No.
217537US-6DIV

Applicant
SHINICHI HIRATA

Examiner

Group Art Unit

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10/02/2001
12/28/01



U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	4,713,780	12/1987	Schultz, et al	709	208
B	5,555,348	9/1996	Gross, et al	706	45
C	5,548,635	8/1996	Bradley, et al	379	102
D	4,713,837	12/1987	Gordon	379	108
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
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Notice of References Cited			Application No. 217537US-6DIV		Applicant(s) SHINICHI HIRATA	
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	DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS
A	5,956,487	9/21/99	Venkatraman, et al		340	825.08
B	5,781,901	7/14/98	Kuzma		707	10
C	5,938,757	8/17/99	Bertsch		340	825.08
D	5,565,929	10/15/96	Tanaka		345	585
E	5,982,445	11/9/99	Eyer, et al		348	481
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Notice of References Cited	Application No. 217537US-6DIV	Applicant(s) SHINICHI HIRATA	
	Examiner	Group Art Unit	Page 1 of 10

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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,982,445 ✓	11/1999	Eyer, et al	348	465
B	5,475,835 ✓	12/1995	Hickey	395	800
C	6,047,260 ✓	4/2000	Levinson	705	9
D	5,905,442 ✓	11/1999	Moosebrook, et al	340	825.06
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U	(Flite, Franklin Jr.; What's Behind the Microsoft At Work Facade; Electronic Engineerin Times0 ✓	1994
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